

# SPECIAL USE PERMIT PUBLIC SERVICE

#### APPLICATION CHECKLIST

**Mailing Address:** 

P.O. Box 30408

Salt Lake City, UT 84130-0408

**雷**: 801-977-6800 **□**: abs.utah.gov

A complete application must be submitted no later than the **10th of any month to be considered for that month's commission meeting**. However, applying early is highly recommended to allow for processing and investigation. Any application submitted after the **10**<sup>th</sup> will be considered on the following month's commission agenda. All licensing requirements listed below must be completed before your DABS license will be issued. Approval for licensing is also subject to a final inspection of the premises.

1	Completed Application Form Signed
2	Application fee \$75.00 +  \$250 Public Service Permit Fee = \$325 Total
3	Local consent form signed by the city where the business is located
4	Certificate of insurance for public liability and liquor liability 'dram shop' coverage (for hospitality rooms only)
	<ul> <li>Minimum liquor coverage of \$1,000,000 per occurrence/\$2,000,000 in the aggregate.</li> <li>Address of licensed premises must appear on the certificate of insuranceDepartment of</li> <li>Alcoholic Beverage Control listed as certificate holder</li> </ul>
5	Signed Surety Bond or Cash Bond (Applies to Industrial/Manufacturing or Public Service permits only):
	\$1,000 Bond Licensed entity listed as the Principal Business name listed as "Doing Business as" (DBA)
6	Criminal history background documents:
	<ul> <li>Electronic Fingerprints or Fingerprint card(s). Electronic fingerprinting (Live Scan) is available at DABC by appointment, at BCI, or at a number of other FBI electronic fingerprint provider locations.</li> <li>Signed "'Informed Consent and Waiver" form</li> <li>FBI Background fees: \$33.25 for all owners and persons employed to act in a supervisory/managerialcapacity. (see background instructions)</li> </ul>
7	Exemption Certificate form TC-721
8	Ownership entity / organizational documents filed with Utah Department of Commerce
	<ul> <li>Individual / Sole Proprietor</li> <li>If a <u>Corporation</u>, submit a copy of the Articles of Incorporation</li> <li>If a <u>Partnership</u>, submit a copy of the written partnership agreement</li> <li>If a <u>Limited Liability Company</u>, submit a copy of the Articles of Organization</li> </ul>
9	Scaled floor plan (8 1/2" x 11") of premises highlighting areas for storage, sale & consumption of alcohol
	☐ Terminal map showing location address and gate location ☐ Floor plan of any other business location



# SPECIAL USE PUBLIC SERVICE PERMIT APPLICATION

Licensing and Compliance Division	
permit Number	

	\$75.00 Application fee	+	\$250.00 Pe	ermit Fee =	\$325.00	
		0wn	ership Information			
1.	Ownership Entity:Entity Type:	☐ Individual	Partnership	☐Corporation	☐ Limited Liability C	Company
2.	DBA:(assumed name of business)					
3.	Location Address (if at the SLC Internationa	l Airport, list the loc	eation in the termina	al)		
	TERMINAL LOCATION STREET		Cl	TY	STATE	ZIP
4.	Mailing address:					
	(IF DIFFERENT) STREET	ī	C	ITY	STATE	ZIP
5.	Business Phone:	Fax:		Othe	r/office:	
6.	Contact person:	Phone r	number:		Email	
7.	Manager:	Phone	number:	Email:		
8.	Other alcoholic beverage licenses currently					
		Business	/ Property Informa	tion		
9.	(If yes, attach a floorplan showing its loca					YES NO
10.	departure area used by the carrier.  List the total of regularly numbered flights	, trains, buses, boat	s, or other types of	public conveyanc	es for which the applica	ation is made:
11.	. List any other storage locations for alcoho	lic products or offic	es:			
12.	Federal, state, withholding, and workford  By checking this box, I acknowledge t payment of taxes and contributions to	hat I am legally obli	gated to comply wi	th the applicable f	ederal and state laws	pertaining to the

13. Owner of real property & building	g (lease holder)				
Name:	Address	3:			
Phone:	City,Sta	nte,zip			
, , , , , , , , , , , , , , , , , , , ,	y private or public schools, churches, public libr rian travel or 200 feet straight line.	raries, public playgrounds, pa	arks, or educationa	al facilities	
15. Ownership / Management					
of the business. Anyone owning at least 2 least 21 years or older. If not a U.S. Citize	fficers, directors, or members. Percentage owned mu 20% of an entity and all employee/managers must sut n, provide residency status in section 16. Use additio nip interests of all parent companies until all individu	omit fingerprints for a backgrou anal sheets if necessary. For cor	nd check. All individu mplex corporate struc	als listed MUS	ST be at
Name	Complete home address (include city, state, zip code)	Position Held	Date of Birth Month / Day Year	Percent Owned	US Citiz en Y/N
					-
17. Criminal Offenses: List all crimina	ch proof of residency status for all individuals in	which you or any person lis	ted in section 16	have been	
,	lo you own or have interest in a brewery, winery	. —	□ NO		

ATTEST	ATION:	
Read an	d initial each statement below:	
	rules of the commission and directives of the Department	as read and will abide by the provisions of Title 32B, Utah Code, and all of Alcoholic Beverage Services; Applicant understands that the alcohol of the bond, if the applicant fails to adhere to applicable laws and rules, ifications for licensure.
	The applicant(s) attest(s) that they have not been convic disqualifying conviction is discovered, the license, permit	ted of any of the offenses listed below and stipulate(s) that if any or package agency will immediately be surrendered.
	federal or state law.  2. Within four years before the day on which the commfederal or state law, or local ordinance concerning t transportation, or adulteration of an alcoholic produ	are the day on which the package agency, license, or permit is issued,
	Applicant agrees to immediately notify the department of may result in immediate suspension of the license	any change in ownership entity and understands that failure to do so
	Applicant agrees to immediately notify the department of may result in immediate suspension of the license	any change in ownership entity and understands that failure to do so
	The undersigned verifies that the premises will not be use ordinance.	ed for permitting gambling, illegal drugs, or any other violation of law or
	The undersigned hereby authorizes the department's accepersonal property tax information.	ss to federal, state, and local sales, payroll, income, and real and
	The undersigned verifies that the applicant complies with contributions to unemployment and insurance funds.	all federal and state laws pertaining to payment of taxes and
	The undersigned applicant does not and will not discrimina ancestry, or national origin.	ate against persons on the basis of race, color, sex, religion,
	State Bureau of Investigation (Bureau of Alcoholic Bevera	ntatives of the Alcoholic Beverage Services Department, Commission, ge Law Enforcement), and any other law enforcement agencies shall be r delay to inspect the entire premises and all records of the licensee.
	· · · · · · · · · · · · · · · · · · ·	understands the statements herein and that the execution thereof is y; and that any false statement made on this application or any other result in suspension or revocation of the license.
	The undersigned hereby makes application to the Utah Alc contained herein and attached hereto is true and correct.	coholic Beverage Services Commission and certifies that the information
	Date	Applicant / Owner of the business
	Title / Position	Authorized Signature

# SPECIAL USE PERMIT Local Consent

Check which type of permit is being obtained:					
Religious wine Industrial/Manufacturing Scientific/Educational Public service					
<b>AUTHORITY:</b> Special Use Permit Act pursuant to the provisions of Section 32B-10, Utah Code for the purpose of purchase, storage and/or other lawful use of alcoholic products as authorized by the Utah Department of Alcoholic Beverage Control.					
, City Town County					
hereby grants its consent to the issuance of a Special Use Permit (type must be noted above) to:					
Business Name (DBA):					
Entity Name (or owner's name if sole proprietor):					
Location Address:					
Authorized Signature					
Name/Title Date					
This is a suggested format. A locally produced city, town, or county form is also acceptable.  The local consent must be submitted to the DABC by the applicant as part of a complete application.					

<u>UTAH DEPARTMENT OF ALCOHOLIC BEVERAGE SERVICES</u>
1625 S 900 W • PO Box 30408 • Salt Lake City, UT 84130-0408 • Phone (801) 977-6800 • Fax (801) 977-6889

# PUBLIC SERVICE BOND (SPECIAL USE)

		BOND #	‡
KNOW ALL PERS	SONS BY THESE PRESENTS:		
That <b>Principal</b> ,		a public se	ervice permittee, doing business
as		, and <b>Surety</b> ,	,
to do business	in Utah, are held and bound ich payment will be made, w	, and <b>Surety</b> , the laws of the state of d unto the Utah Department of Alcoholic B we hereby bind ourselves and our represents	Severage Services in the sum of
Dated this	day of	·	
THE CONDITION	OF THIS OBLIGATION IS SUC	H THAT:	
	above principal has made appoursuant to the provisions of	olication to the Utah Alcoholic Beverage Serv f 32B-10-303, Utah Code.	vices Commission for a public
Title 32B, Utah Utah Departmen agents and em commission or Department of tunless canceled cancellation sh	Code, and the rules and dint of Alcoholic Beverage Semployees fail to comply with department may issue, the the Alcoholic Beverage Servind by service of written not all be effective 30 days afterwood or canceled while	cers, agents and employees shall faithfully of irectives of the Utah Alcoholic Beverage Solutions, then this bond shall be void; but, it the provisions of the laws, rules and en this bond shall be in full force and effects. This bond shall run for a continuing termicice upon the Utah Department of Alcoholiter receipt of such notice; provided however violations, legal actions or proceedings	ervices Commission and the if said principal, its officers, directives or orders as the ect and payable to the Utah m effective ic Beverage Services, which er, that no part of this bond
Surety		Principal/Licensee	
Attorney in fact		Authorized signature	
		Name/Title	

{Corporate Seal}

### STATUTORY AFFIDAVIT FOR CORPORATE SURETY

STATE OF:		
COUNTY OF:		
On the	day of	,, personally appeared before me,
		, who, being by me duly sworn, did say that he/she is the attorney in
fact of		, <b>Surety</b> , and that said instrument was signed on behalf
of said surety by a	authority, and acknowledg	ed to me that he/she as such attorney in fact executed the same.
Notary Public Sign	nature & <i>Seal</i>	

Note: Corporate surety's own certificate/affidavit also acceptable



### **CERTIFICATE OF LIABILITY INSURANCE**

DATE (MM/DD/YYYY)
Month/Date/Year

OLITIII I		\DIL		SUITAILO	<u> </u>	1	Month/Date/Year
PRODUCER  Insurance Agent/Broker Name Insurance Agent/Broker Street Address or P.O. Box Insurance Agent/Broker City, State & Zip Code Contact & Phone Number							
			INSURERS	S AFFORDING CO	VERAGE		NAIC#
INSURED			INSURER A:	Name of Insura			Enter NAIC#
Your Business entity (LLC, Corp, Partnership	or individual)		INSURER B:				
Your DBA - business name	or marriadar)		INSURER C:				
Address			INSURER D:				
City, State Zip			INSURER E:				
COVERAGES			ı				•
THE POLICIES OF INSURANCE LISTED BELL ANY REQUIREMENT, TERM OR CONDITION PERTAIN, THE INSURANCE AFFORDED BY POLICIES. AGGREGATE LIMITS SHOWN MA	OF ANY CONTRACT OR OT THE POLICIES DESCRIBED	HER DO HEREIN Y PAID C	CUMENT WIT IS SUBJECT LAIMS.	TH RESPECT TO WH TO ALL THE TERMS	ICH THIS CERTIFICATE MA	Y BE	ISSUED OR MAY
INSR ADD'L TYPE OF INSURANCE	POLICY NUMBER		Y EFFECTIVE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIN	IITS	
A GENERAL LIABILITY	Enter Policy #	Enter	Effective	Enter Expiration	EACH OCCURENCE	\$1	,000,000
COMMERICAL GENERAL LIABILITY	Enter Foney "	Date	Zirotivo	Date	DAMAGE TO RENTED PREMISES (Ea occurrence)	\$1	00,000
CLAIMS MADE OCCUR					MED EXP (Any one person)	\$N	N/A
					PERSONAL & ADV INJURY	<del>-   `</del>	,000,000
					GENERAL AGGREGATE	\$2	2,000,000
GEN'L AGGREGATE LIMIT APPLIES PER					PRODUCTS - COMP/OP AGG	\$1	,000,000
POLICY PROJECT LOC						\$	,,
AUTOMOBILE LIABILITY ANY AUTO	1				COMBINED SINGLE LIMIT (Each Occurrence)	\$	
ALL OWNED AUTOS SCHEDULED AUTOS		7			BODILY INJURY (Per person)	\$	
HIRED AUTOS NON-OWNED AUTOS			1		BODILY INJURY (Per accident)	\$	
	•		1	•	PROPERTY DAMAGE (Per accident)	\$	
GARAGE LIABILITY					AUTO ONLY - EA ACCIDENT	\$	
ANY AUTO					OTHER THAN EA ACC	\$	
<u> </u>					AUTO ONLY: AGO	<b>\$</b>	
EXCESS/UMBRELLA LIABILITY					EACH OCCURRENCE	\$	
OCCUR CLAIMS MADE					AGGREGATE	\$	
DEDUCTIBLE						\$	
RETENTION \$						\$	
					WC STATU- OTH	\$	
WORKERS COMPENSATION AND EMPLOYERS' LIABILITY					☐ TORY LIMITS ☐ ER		
ANY PROPRIETOR/PARTNER/EXECU- TIVE OFFICER/MEMBER EXCLUDED?				•	E.L. EACH ACCIDENT		
If yes, describe under SPECIAL PROVISIONS below					E.L. DISEASE - EA EMPLOYEE		
SI ECIAL I NOVISIONS BEIOW					E.L. DISEASE - POLICY LIMIT		
Liquor Liability	Enter Policy #	Enter I Date	Effective	Enter Expiration Date	EACH OCCURRENCE AGGREGATE		,000,000
DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS  Business located at:							
CERTIFICATE HOLDER			CANCELL	ATION			
Department of Alcoholic Beverage Services 1625 S 900 W Salt Lake City, UT 84104 Facsimile Number: (801) 977-6889				SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE INSURER AFFORDING COVERAGE WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.  AUTHORIZED REPRESENTATIVE			

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## **Criminal History Background Check Procedures**

DABS Licensees / Applicant / Owner / Manager

AUTHORITY: Utah Code 32B-1 Part 3

Criminal history background checks are required for all owners and persons employed to act in a supervisory or managerial capacity for a package agency, licensee, or permittee.

If the owner is a partnership, corporation, or limited liability company, a criminal history background check is required for all partners, managing agents, managers, officers, directors, or any stockholder who holds at least 20% of the total issued and outstanding stock of the corporation, or a member who owns at least 20% of the limited liability company.

Each individual who falls under the description above shall consent to a criminal history background check and shall deliver the following documents and fees to the Department of Alcoholic Beverage Services.

New DABS license applicants must submit fingerprints either prior to submitting their application or with their application, so please plan ahead for fingerprinting services so you can meet your deadline before the 10th of the month.

Background checks for each applicable person must include:

- Fingerprints either through a live scan service or a completed FBI fingerprint card
- A signed Informed Consent & Waiver form for each individual fingerprinted
- \$33.25 submitted to DABS for each individual fingerprinted

**Live scan fingerprinting:** Contact <u>any</u> live scan provider to see if they serve the general public. **Note:** if you use a live scan provider other than DABS, you <u>must</u> supply them with the attached **live scan authorization form**. They may or may not charge a fee for fingerprint services, but the \$33.25 background fee must be paid to DABS regardless of another provider's service fees.

Live scan fingerprint providers (there may be others you can use):

#### 1. DABS - by appointment only

- please call (801) 977-6800 to schedule an appointment
- No charge for the fingerprint service, but you must pay the \$33.25 background check fee.
- Address: 1625 S. 900 W., Salt Lake City, Utah 84104

#### 2. The Bureau of Criminal Identification (BCI)

- Address: 3888 W. 5400 S., Taylorsville, Utah 84129
- Phone: (801) 965-4445

#### 3. Yup Fingerprinting-

- Multiple locations (Salt Lake City, Lehi, Logan, and St. George)
- See their website for specific contact information: https://www.yupfingerprinting.com/

## DABS LICENSEE & MANAGER BACKGROUND CHECK INFORMED CONSENT AND WAIVER

Print - Name of Applicant (First, Middle, Last)		Date of Birth (Month, Day, Year)		
Name of	Employer	Employer Address		
Job Title		Phone Number of the Applicant		
(The	e above information to be verified by valid identification document(s) prior to backg	round check request per Section 1028 of Title 18, United States Code)		
APPLICAN	NT NOTIFICATION AND PURPOSE:			
	ance with Utah Code 32B-1-303-307, your fingerprints will be used (FBI) background check databases to determine whether an applicabl	·		
	Convicted of a felony under federal or state law; Convicted of a violation of federal law, state law, or local ordinance transportation of an alcoholic beverage; Convicted of a crime involving moral turpitude; Convicted on two or more occasions within the previous five years influence of alcohol and a drug.			
RECORD	CHALLENGE:			
disqualific the inforr records a	etermined that a criminal history record contains a disqualifying offication and given an opportunity to respond to the disqualification. You mation contained in the FBI identification record. The procedure for the set forth in Title 28, CFR, 16.34. Procedures for challenging the State (18.34) can be found on the BCI website at <a href="https://bci.utah.gov/wp-displayer-ph/9/">https://bci.utah.gov/wp-displayer-ph/9/</a>	ou have the opportunity to complete or challenge the accuracy of obtaining a change, correction, or updating an FBI identification ate of Utah records if Utah has records that the FBI does not (UCA		
WAIVER:	(initial each attestation below)			
	I hereby authorize the Department of Alcoholic Beverage Services (I that a background check will be conducted and maintained by continuously checked against local and national (FBI) background regulatory relationship with the DABS.	the State Bureau of Criminal Identification and my fingerprints		
	My personal information and fingerprints may be retained for ongo state, regional or federal database and latent fingerprint inquir fingerprints from applicable state and federal databases when I a convictions which contains a description of the crimes and the page	ies. DABS will establish procedures to ensure removal of my m no longer under their purview. I will provide a list of all criminal		
	_ I have read the attached Privacy Statement and understand my rig	hts according to this statement.		
	_ I agree by signing below to notify the DABS if I cease this relations system.	ship and wish my fingerprints to be removed from the notification		
Signature		Date		

## **FBI Privacy Act Statement**

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect the completion or approval of your application.

Social Security Account Number (SSAN). Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the routine uses for the NGI system and the FBI's blanket routine uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

Additional Information: The requesting agency and/or the agency conducting the application-investigation will provide you additional information pertinent to the specific circumstances of this application, which may include identification of other authorities, purposes, uses, and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice in the Federal Register describing any system(s) of records in which that agency may also maintain your records, including the authorities, purposes, and routine uses for the system(s).

# Utah Department of Alcohol Beverage Services LIVE SCAN AUTHORIZATION FORM

### Billable to DABS

Agency Billing Code:	B1664 (DABS – WIN/FBI)
Type of Background Check Required:	WIN/FBI Check: NFUF
	s at DABS. Any qualified 'Live Scan' provider that can provide the e. They may or may not charge a fee for their services. Call Ahead!
Date:	
Applicant Name:	
Applicant DOB:	Applicant SSN:
DABS Authorization Signature:	Angela Micklos

Regardless of any additional fees paid to the 'Live Scan' provider for their fingerprinting services, in accordance with Utah Code 32B-1-303-307, fees of \$33.25 must be submitted to DABS for all owners and persons employed to act in a supervisory or managerial capacity for a package agency, licensee or permittee. If the owner is a partnership, corporation, or limited liability company, a criminal history background check is required for all partners, managing agents, managers, officers, directors, or any stockholder who holds at least 20% of the total issued and outstanding stock of the corporation, or a member who owns at least 20% of the

limited liability company

NOTE: THIS FORM STAYS WITH THE 'LIVE SCAN' PROVIDER



#### Utah State Tax Commission • 210 N 1950 W • Salt Lake City, UT 84137

#### **Exemption Certificate**

(Sales, Use, Tourism and Motor Vehicle Rental Tax)

TC-721 Rev. 7/15

Name of business or institution claiming exemption (purchaser)  Telephone number					
- · · · · · · · · · · · · · · · · · · ·	· (				
01 1 11		0.1	101.1	710.0	
Street address		City	State	ZIP Code	
i de la companya de					
Authorized signature	Name (please print)		Title		
Authorized signature	Name (please print)		Title		
	Date				
Name of Seller or Supplier:					
Department of Alcoholic Beverage Services					
	Jopan amont of 7 aconono	<b>2010:490 00:1:000</b>			

The signer of this certificate MUST check the box showing the basis for which the exemption is being claimed.

# DO NOT SEND THIS CERTIFICATE TO THE TAX COMMISSION Keep it with your records in case of an audit.

RESALE OR RE-LEASE Sales Tax License No.
I certify I am a dealer in tangible personal property or services that are for resale or re-lease. If I use or consume any tangible personal property or services I purchase tax free for resale, or if my sales are of food, beverages, dairy products and similar confections dispensed from vending machines (see Rule R865-19S-74), will report and pay sales tax directly to the Tax Commission on my next sales and use tax return.
COMMERCIAL AIRLINES Sales Tax License No
I certify the food and beverages purchased are by a commercial airline for in-flight consumption; or, any parts or equipment purchased are for use in aircraft operated by common carriers in interstate or foreign commerce.
RELIGIOUS OR CHARITABLE INSTITUTION Sales Tax License No.
I certify the tangible personal property or services purchased will be used or consumed for essential religious or charitable purposes. This exemption can only be used on purchases totaling \$1,000 or more, unless the sale is pursuant to a contract between the seller and purchaser.

**NOTE TO SELLER: Keep this certificate on file since it must be available for audit review.** Questions? Email taxmaster@utah.gov, or call 801-297-2200 or 1-800-662-4335.

If you need an accommodation under the Americans with Disabilities Act, email **taxada@utah.gov**, or call 801-297-3811 or TDD 801-297-2020. Please allow three working days for a response.

#### DO NOT SEND THIS CERTIFICATE TO THE TAX COMMISSION